

# PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD

# NO FEAR ACT REPORT Q3, FY 2021

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## Privacy and Civil Liberties Oversight Board No FEAR Act Report Third Quarter Fiscal Year (FY) 2021

### Section I. Summary of District Court Cases (FY 2018 to Q3 FY 2021)

1. The number of cases arising under each of the respective provisions of law covered by paragraphs (1) and (2) of section 201(a) in which discrimination on the part of the agency was alleged.

		TOTAL FILED:	0 cases	
	FY 18	FY 19	FY 20	Through Q3, FY 21
Title VII (race, color, religion, sex, national origin)	0	0	0	0
Age	0	0	0	0
Sex (Equal Pay Act)	0	0	0	0
Disability (Section 501 of the Rehabilitation Act of 1973)	0	0	0	0
Whistleblower protection laws, 5 U.S.C. §§ 2302(b)(1)-(9)	0	0	0	0

- 2. a. The status or disposition of cases described in paragraph (1). N/A
  - b. Money reimbursed to the Judgment Fund. N/A
  - c. Reimbursement to the Judgment Fund for separately designated attorney's fees. N/A
- 3. The number of employees disciplined for discrimination, retaliation, harassment, or any other infraction of any provision of law referred to in paragraph (1).

		TOTAL NUMBER EMPLOYEES DISCIPLINED: 0           FY 18         FY 19         FY 20         Through Q3, FY 2           0         0         0         0         0					
	FY 18	FY 19	FY 20	Through Q3, FY 21			
FY Totals	0	0	0	0			

4. The final year-end data posted under section 301(c)(1)(B) for such fiscal year (without regard to section 301(c)(2)).

See Attachment A.

- 5. *A detailed description of the policy implemented by that agency relating to appropriate disciplinary actions against a Federal employee who*
  - *i.* discriminated against any individual in violation of any of the laws cited under section 201(a)(1) or (2), or
  - ii. committed another prohibited personnel practice that was revealed in the investigation of a complaint alleging a violation of any of the laws cited under section 201(a)(1) or (2), and with respect to each of such laws, the number of employees who are disciplined in accordance with such policy and the specific nature of the disciplinary action taken.

The Privacy and Civil Liberties Oversight Board (PCLOB) has implemented an EEO policy, a supplemental EEO Question and Answer guidance document, and an Anti-Harassment policy. These policies and guidance documents prohibit discrimination and harassment based on a protected class or whistleblower activity and prohibit retaliation for exercising rights on either basis. The PCLOB is also completing its re-certification for the Office of Special Counsel's 2302(c) certification program, which ensures that the PCLOB prevents prohibited personnel practices, complies with applicable civil service laws against these practices, and informs employees about these practices. The PCLOB continues to comply with all related policies and guidance.

### Section II. Analysis of Administrative Complaints\*

- 6. An analysis of the information described under paragraphs (1) through (6) (in conjunction with data provided to the Equal Employment Opportunity Commission in compliance with part 1614 of title 29 of the Code of Federal Regulations) including:
  - a. an examination of trends;
  - b. causal analysis;
  - c. practical knowledge gained through experience; and
  - d. any actions planned or taken to improve complaint or civil rights programs of the agency.

There have been no administrative complaints to analyze.

# Attachment A

- Administrative Equal Employment Opportunity (EEO) Complaint Data (FY 2018- Q3, FY 2021)
- No FEAR Act Training Plan

# Equal Employment Opportunity Data Posted Pursuant to the No FEAR Act:

# Privacy and Civil Liberties Oversight Board

## FY 2018 - Q3 FY 2021

	Comparative Data											
	Previous	Previous Fiscal Year Data										
Complaint Activity 2018		2019	2020	Thru 06-30								
Number of Complaints Filed	0	0	0	0								
Number of Complainants	0	0	0	0								
Repeat Filers	0	0	0	0								

		<b>Comparative Data</b>								
<b>Complaints by Basis</b>	Previ	ous Fisc	al Year I	Data	2021					
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed.		2018	2019	2020	Thru 06-30					
Race		0	0	0	0					
Color		0	0	0	0					
Religion		0	0	0	0					
Reprisal		0	0	0	0					
Sex		0	0	0	0					
PDA		0	0	0	0					
National Origin		0	0	0	0					
Equal Pay Act		0	0	0	0					
Age		0	0	0	0					
Disability		0	0	0	0					
GINA		0	0	0	0					

	Comparative DataComplaints by BasisPrevious Fiscal Year Data							
Complaints by Basis								
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed.		2018	2019	2020	2021 Thru 06-30			
Non-EEO		0	0	0	0			

		Comparative Data							
<b>Complaints by Issue</b>	Previous Fisc	al Year I	Data						
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed.	2018	2019	2020	2021 Thru 06-30					
Appointment/Hire	0	0	0	0					
Assignment of Duties	0	0	0	0					
Awards	0	0	0	0					
Conversion to Full-time	0	0	0	0					
Disciplinary Action	11		1						
Demotion	0	0	0	0					
Reprimand	0	0	0	0					
Suspension	0	0	0	0					
Removal	0	0	0	0					
Other	0	0	0	0					
Duty Hours	0	0	0	0					
Evaluation Appraisal	0	0	0	0					
Examination/Test	0	0	0	0					
Harassment	•								
Non-Sexual	0	0	0	0					
Sexual	0	0	0	0					
Medical Examination	0	0	0	0					
Pay (Including Overtime)	0	0	0	0					

		<b>Comparative Data</b>								
Reassignment   Denied   Directed   Reasonable Accommodation   Reinstatement   Retirement   Termination   Ferms/Conditions of Employment   Time and Attendance   Training	Previo									
		2018	2019	2020	2021 Thru 06-30					
Promotion/Non-Selection		0	0	0	0					
Reassignment			1		1					
Denied		0	0	0	0					
Directed		0	0	0	0					
Reasonable Accommodation		0	0	0	0					
Reinstatement		0	0	0	0					
Retirement		0	0	0	0					
Termination		0	0	0	0					
Terms/Conditions of Employment		0	0	0	0					
Time and Attendance		0	0	0	0					
Training		0	0	0	0					
Other		0	0	0	0					

			Comparat	ive Data	
		Previou	s Fiscal Year Da	ata	2021
<b>Processing Time</b>		2018	2019	2020	Thru 06-30
	Com	plaints pending du	ring fiscal year		
Average number of days in investigation		0	0	0	0
Average number of days in final action		0	0	0	0
	Com	plaint pending dur	ing fiscal year whe	ere hearing was rec	quested
Average number of days in investigation		0	0	0	0
Average number of days in final action		0	0	0	0
	Com	plaint pending dur	ing fiscal year whe	ere hearing was no	t requested
Average number of days in investigation		0	0	0	0
Average number of days in final action		0	0	0	0

			Compara	ative Data	
	P	revious Fis	2021		
Complaints Dismissed by Agency		2018	2019	2020	Thru 06-30
Total Complaints Dismissed by Agency		0	0	0	0
Average days pending prior to dismissal		0	0	0	0
	Complai	nts Withdr	awn by Col	mplainants	0
Total Complaints Withdrawn by Complainants		0	0	0	0

	Comparative Data													
	Previous Fiscal Year Data 2021													
	2018 2019 20		)20	20 Thru										
Total Final Agency Actions Finding Discrimination							#	%	#	%	#	%	#	%
Total Number Findings							0	0	0	0	0	0	0	0
Without Hearing							0	0	0	0	0	0	0	0
With Hearing							0	0	0	0	0	0	0	0

						Con	npa	rativ	e D	ata		
Findings of Discrimination Rendered by Basis		P	rev	ioı	us Fi	scal	Yea	r Da	2021			
Note: Complaints can be filed alleging multiple bases.					2018		2019		2020			hru 5-30
The sum of the bases may not equal total complaints and findings.					#	%	#	%	#	%	#	%
Total Number Findings					0		0		0	0	0	
Race					0	0	0	0	0	0	0	0
Color					0	0	0	0	0	0	0	0
Religion					0	0	0	0	0	0	0	0
Reprisal					0	0	0	0	0	0	0	0
Sex					0	0	0	0	0	0	0	0
PDA					0	0	0	0	0	0	0	0
National Origin					0	0	0	0	0	0	0	0
Equal Pay Act	Ì	Ì			0	0	0	0	0	0	0	0

						Con	npa	rativ	e D	ata		
Findings of Discrimination Rendered by Basis		Р	re	vio	us F	iscal	Yea	ear Data			2021	
Note: Complaints can be filed alleging multiple bases.					2	018	20	)19	20	)20		hru 5-30
<i>The sum of the bases may not equal total complaints and findings.</i>					#	%	#	%	#	%	#	%
Age					0	0	0	0	0	0	0	0
Disability					0	0	0	0	0	0	0	0
GINA					0	0	0	0	0	0	0	0
Non-EEO					0	0	0	0	0	0	0	0
Findings After Hearing					0		0		0		0	
Race					0	0	0	0	0	0		0
Color					0	0	0	0	0	0	0	0
Religion					0	0	0	0	0	0	0	0
Reprisal					0	0	0	0	0	0	0	0
Sex					0	0	0	0	0	0	0	0
PDA					0	0	0	0	0	0	0	0
National Origin					0	0	0	0	0	0	0	0
Equal Pay Act					0	0	0	0	0	0	0	0
Age					0	0	0	0	0	0	0	0
Disability					0	0	0	0	0	0	0	0
GINA					0	0	0	0	0	0	0	0
Non-EEO					0	0	0	0	0	0	0	0
		_		_								
Findings Without Hearing				_	0		0		0		0	
Race					0	0	0	0	0	0	0	0
Color					0	0	0	0	0	0	0	0
Religion					0	0	0	0	0	0	0	0
Reprisal					0	0	0	0	0	0	0	0
Sex					0	0	0	0	0	0	0	0
PDA					0	0	0	0	0	0	0	0
National Origin					0	0	0	0	0	0	0	0

							Con	npa	rativ	e D	ata		
Findings of Discrimination Rendered by Basis		Previous Fiscal Year Data											
Note: Complaints can be filed alleging multiple bases.						20	018	20	)19	20	)20		1ru -30
<i>The sum of the bases may not equal total complaints and findings.</i>						#	%	#	%	#	%	#	%
Equal Pay Act						0	0	0	0	0	0	0	0
Age						0	0	0	0	0	0	0	0
Disability						0	0	0	0	0	0	0	0
GINA						0	0	0	0	0	0	0	0
Non-EEO						0	0	0	0	0	0	0	0

						(	Com	para	ative	Dat	a		
		Р	revio	us F	lisca	al Y	ear ]	Data	ı				)21
						20	018	20	)19	2020			hru -30
Findings of Discrimination Rendered by Issue						#	%	#	%	#	%	#	%
Total Number Findings						0		0		0		0	
Appointment/Hire						0	0	0	0	0	0	0	0
Assignment of Duties						0	0	0	0	0	0	0	0
Awards						0	0	0	0	0	0	0	0
Conversion to Full-time						0	0	0	0	0	0	0	0
	Γ	Disci	olinar	y Ac	tion								
Demotion						0	0	0	0	0	0	0	0
Reprimand						0	0	0	0	0	0	0	0
Suspension						0	0	0	0	0	0	0	0
Removal						0	0	0	0	0	0	0	0
Other						0	0	0	0	0	0	0	0
Duty Hours						0	0	0	0	0	0	0	0
Evaluation Appraisal						0	0	0	0	0	0	0	0
Examination/Test						0	0	0	0	0	0	0	0

						Com	para	ative	Dat	a		
		Prev	iou	s F	iscal Y	(ear	Data	a			021	
					2	018	20	019	2020			hru 5-30
Findings of Discrimination Rendered by Issue					#	%	#	%	#	%	#	%
	Har	assm	ent		I	1	1	1	<u> </u>	I	<u> </u>	<u> </u>
Non-Sexual					0	0	0	0	0	0	0	0
Sexual					0	0	0	0	0	0	0	0
Medical Examination					0	0	0	0	0	0	0	0
Pay (Including Overtime)					0	0	0	0	0	0	0	0
Promotion/Non-Selection					0	0	0	0	0	0	0	0
	Rea	ssign	mer	ıt								
Denied					0	0	0	0	0	0	0	0
Directed					0	0	0	0	0	0	0	0
Reasonable Accommodation					0	0	0	0	0	0	0	0
Reinstatement					0	0	0	0	0	0	0	0
Retirement					0	0	0	0	0	0	0	0
Termination					0	0	0	0	0	0	0	0
Terms/Conditions of Employment					0	0	0	0	0	0	0	0
Time and Attendance					0	0	0	0	0	0	0	0
Training					0	0	0	0	0	0	0	0
Other					0	0	0	0	0	0	0	0
Findings After Hearing					0		0		0		0	
Appointment/Hire					0	0	0	0	0	0	0	0
Assignment of Duties					0	0	0	0	0	0	0	0
Awards					0	0	0	0	0	0	0	0
Conversion to Full-time					0	0	0	0	0	0	0	0
	Dise	ciplin	ary	Act	ion							
Demotion					0	0	0	0	0	0	0	0
Reprimand					0	0	0	0	0	0	0	0
Suspension			Ţ		0	0	0	0	0	0	0	0

				Comparative Data										
		]	Pre	viou	ıs F	lisca	al Y	ear I	Data	a		)21		
						20		)18	20	2019		2020		hru -30
Findings of Discrimination Rendered by Issue							#	%	#	%	#	%	#	%
Removal							0	0	0	0	0	0	0	0
Other							0	0	0	0	0	0	0	0
Duty Hours							0	0	0	0	0	0	0	0
Evaluation Appraisal							0	0	0	0	0	0	0	0
Examination/Test							0	0	0	0	0	0	0	0
	H	ara	assn	nent										
Non-Sexual							0	0	0	0	0	0	0	0
Sexual							0	0	0	0	0	0	0	0
Medical Examination							0	0	0	0	0	0	0	0
Pay (Including Overtime)							0	0	0	0	0	0	0	0
Promotion/Non-Selection							0	0	0	0	0	0	0	0
	R	eas	ssig	nme	nt									
Denied							0	0	0	0	0	0	0	0
Directed							0	0	0	0	0	0	0	0
Reasonable Accommodation							0	0	0	0	0	0	0	0
Reinstatement							0	0	0	0	0	0	0	0
Retirement							0	0	0	0	0	0	0	0
Termination							0	0	0	0	0	0	0	0
Terms/Conditions of Employment							0	0	0	0	0	0	0	0
Time and Attendance							0	0	0	0	0	0	0	0
Training							0	0	0	0	0	0	0	0
Other							0	0	0	0	0	0	0	0
Findings Without Hearing							0		0		#		0	
Appointment/Hire							0	0	0	0	0	0	0	0
Assignment of Duties							0	0	0	0	0	0	0	0
Awards							0	0	0	0	0	0	0	0

	Comparative Data											
		Pre	viou	ıs F	iscal Y	al Year Data						)21
					2	018	20	019	2020			hru -30
Findings of Discrimination Rendered by Issue					#	%	#	%	#	%	#	%
Conversion to Full-time					0	0	0	0	0	0	0	0
	Di	scipli	inary	Act	ion			1				
Demotion					0	0	0	0	0	0	0	0
Reprimand					0	0	0	0	0	0	0	0
Suspension					0	0	0	0	0	0	0	0
Removal					0	0	0	0	0	0	0	0
Other					0	0	0	0	0	0	0	0
Duty Hours					0	0	0	0	0	0	0	0
Evaluation Appraisal					0	0	0	0	0	0	0	0
Examination/Test					0	0	0	0	0	0	0	0
	Ha	rassr	nent									
Non-Sexual					0	0	0	0	0	0	0	0
Sexual					0	0	0	0	0	0	0	0
Medical Examination					0	0	0	0	0	0	0	0
Pay (Including Overtime)					0	0	0	0	0	0	0	0
Promotion/Non-Selection					0	0	0	0	0	0	0	0
	Re	assig	nme	nt								
Denied					0	0	0	0	0	0	0	0
Directed					0	0	0	0	0	0	0	0
Reasonable Accommodation					0	0	0	0	0	0	0	0
Reinstatement					0	0	0	0	0	0	0	0
Retirement					0	0	0	0	0	0	0	0
Termination					0	0	0	0	0	0	0	0
Terms/Conditions of Employment					0	0	0	0	0	0	0	0
Time and Attendance					0	0	0	0	0	0	0	0
Training			<u> </u>		0	0	0	0	0	0	0	0
Other					0	0	0	0	0	0	0	0

				C	ompara	tive Dat	a
		Pr	evio	us Fiscal	l Year D	ata	2021
Pending Complaints Filed in Previous Fiscal Years by Status				2018	2019	2020	Thru 06- 30
Total complaints from previous Fiscal Years				0	0	0	0
Total Complainants				0	0	0	0
	Nu	mbo	er co	omplaint	s pendin	g	
Investigation				0	0	0	0
ROI issued, pending Complainant's action				0	0	0	0
Hearing				0	0	0	0
Final Agency Action				0	0	0	0

		Τ	С	ompara	tive Dat	a
	Pre	evi	ous Fise	cal Year	Data	2021
<b>Complaint Investigations</b>			2018	2019	2020	Thru 06-30
Pending Complaints Where Investigations Exceed Required Time Frames			0	0	0	0

### Privacy and Civil Liberties Oversight Board No FEAR Act Training Plan

The Privacy and Civil Liberties Oversight Board (PCLOB) administers No FEAR Act training to all new employees as part of the onboarding process. All PCLOB employees completed the training in FY 2021 and will be required to do so again for FY 2023.

Delivery of Training	Training Schedule	Training Completion Date
Online course delivered by the Department of Treasury.	Upon onboarding.	100% of PCLOB employees who completed No FEAR training upon onboarding.
The PCLOB maintains training records for each employee in the agency's files.	Biennial training for entire agency.	100% of PCLOB employees completed the training in FY 2020 and will again for FY 2021.